# Autonomous robotic systems by combining control and learning

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#### What ideal robotic systems should look like

#### Knowledge about

. . .

- human's preference
- task's manufacturing technique and process
- physics and mechanics

#### Data about

- Human's motion
- Environment's status
  - Signals of failure/success

Finish tasks that requires

- high accuracy but straightforward
- deep thought but low accuracy
- fast response but middle level accuracy and thought
- coordination/collaboration/cooperation

# Control theory

• The study of how to use past knowledge and some data to enhance the future operation of a dynamical system



# Reinforcement learning

• The study of how to use past data and some knowledge to enhance the future operation of a dynamical system



### But almost the same thing

Are learning scientists and control scientists know each other well?

How are they thinking about each other?



#### Complains from control engineers

#### 2019-Dec-18 on Zhihu (Chinese version of quora): 1.8K likes and 294 comments

Control engineer, get control Ph.D. in US 我的前东家是一家很偏重硬件的汽车相关公司。像我这样精通控制理论但是 经验的人不怎么受待见。大部分人对我的印象就是入门级别的工程师,慢慢学去吧。(1970\$\$1017 Umich); 件公司,重心都放在硬件上,认为只要硬件搞牛了,控制起来性能自然就上去了。 Worked in car hardware company 馈gain调大嘛,硬件做好了,gain就能使劲往上调了。这也是底特律派(传统主机厂车厂)的那种 思维模式。在这种大环境下,去年我还是带着一个超小的组,硬是搞了一些牛逼的投资了及了是不仅eveloped advanced control 有改变任何硬件结构的基础上,把产品性能提升了一倍。和公司另外一个吭哧吭哧码(③④)前针hm; greatly improved 烧尽无数美刀的上百人的组做到的效果等价。后来CTO私下对我说,我看你这人虽然它有方式的ance; 制,但是好像懂点优化和data science,还是挺好的。听到这我就真下定决心走了 But CTO said: you know some data scientist了。 optimization and data science 🛞 于是今年年初加入了某超有前途的自动驾驶公司。感觉跟这些人一起应该很有共鸣吧,这么多名校 博士博后,机器人机器视觉机器学习深度学习各种神经网络,应该可以很开心的探讨资料已已 autonomous driving startup 吧。结果没过几个星期开始就傻眼了。搞控制理论的在这里照样被鄙视啊 control theory is despised there 被鄙视。首先,我这种吭哧吭哧推公式,对实际问题建模,设计控制器 Only POMDP, vision, and learning are 里不被考虑为是在做research的,或者说在自动驾驶行业控制理论恐怕压根川 范畴。后来我才逐渐明白什么是research, 就是只有chief scientist带领的那几个搞fesealfC 和纯 machine learning, Al的才算是research, 他们的日常工作就是不停的发cvpr, Neu Vionering and controller design are not 顺便提一句,这个所谓的pomdp (partially observable Markov decision process)的概念看到 就烦,提出这个名词的人到底懂不懂什么是observable?系统都不observable了还控制个主线啊。 这样一个破玩意儿还被那么多人捧的跟什么一样。

因为planning和control比较接近,我就经常和planning组的人打交道,后来也渐渐发现,这些搞planning的PhD们也根本没人懂控制理论,或者说不屑于学控制理论。在他们眼到anning的engineers also despise control 就是调调pid就好了嘛,重点还是我们高大上的planning算法啊。我自己热心的NOBOOTCares about control theory 在reading group上给大家讲讲控制理论基础,后来讲了一两次,发现没人听得懂,再后来也没人 叫我讲了。后来面试的时候也是郁闷的要死,美国某所谓的cs第一名校倒是给我们投了无数简历。

Light State Light Light Computer vision community despises 北京的学生。另外更鄙视control或者传统自动化工作的,可能就是属做computer on trol The ory even more: CV can solve 个方向出来的人很多都感觉很傲慢,感觉他们都认为cv可以解决一切问题,传统的控制和自动化将 来都可以被他们所取代,且丝毫不尊重传统自动化和工程领域里积累的经验和大学文中的Light State St

我对这些learning的人感觉有几点。首先,他们的数学很挫。他们的那些论文真的没法和TAC, Automatica去比数学的严密性。这也源于他们解决问题的方式:有个想法就写一大堆程序去试,去 调参数,如果能跑通了就发论文,也不知道为什么能跑通。跑不通更是不知道为 (- 会包斤 ) 回 guy are parameter tuning 次,这些learning的人对传感器数据处理非常的无知。就不说分析信号的信噪比 machine: some idea → tune parameter 问题,动不动就要上EKF来做最优估计,效果不好了就不停的调方差。我提过好几次对于一些简单 的情形用complementary filter就好了,结果不出所料被人鄙视,因为不是最优。而且用EKF的人 很多都是直接套公式,也不知所以然,也不知何时能收敛,反正就知道个最优。最后说说工具,现

很多都是直接套公式,也不知所以然,也不知何时能收敛,反正就知道个最优。最后说说工具,现 在CV和planning普遍流行用ROS,而做过实际控制开发的人都知道ROS这种本来(Vand Danning community use ROS a 本没法做到real-time control,当然了,这些learning的人恐怕也不知道real-time of tage vt to dan Ambual and the community of the

#### Complains from control engineers

最后来真正谈谈感想吧。我以前很傻很天真的觉得控制理论太数学,太严苛,发展太缓慢了,跟不上时代,跟不上其它热门学科发展的步伐。也曾埋怨过我的研究生导师们:为什么仿真都做出来了 还是不让发论文,非要把原理证明出来?但是现在,我才逐渐意识到,这种枯燥的数学推理,这种 严苛的证明要求,才是非常非常有意义的。它可以直接影响到一个产品到底是可靠,持久,安全, 还是只是炫酷,昙花一现。然后,控制理论大师们的很多心血结晶还没有被我充分的学习和掌握 到。那些Learning的人遇到什么未知参数,最喜欢的话就是"我们可以考虑用learning的办法解 决",殊不知这就也许就是个经典的系统辨识或者自适应控制的问题。而且可能已经有大量严格证 明,久经考验的结果摆在那里等着被用。

Control is the king! Control is rigorous, with mathematics guarantee. Much better than learning

https://www.zhihu.com/answer/939129746

# Reality

# Learning scientists and control scientists don't know each other.

#### They look down upon each other





### Task biases



# Criterion biases



# Why bother to combine?

#### Pure control

- Modeling and design: time-consuming manual procedure; still an art
- Difficult to leverage rich sensory data (e.g. image or video)
- Huge gap between theory and applications, due to unrealistic assumptions necessary for math simplicity

#### Pure learning

- Low sample efficiency → large training set
- Convergence and hyperparameter tuning: still an art
- Low dynamic performance
- Mostly limited in nonindustrial applications

# Reinforcement learning is limited



- Difficult to transfer from simulation to real world
- Requires huge number of training data / experience
- Discard most structural knowledge about tasks
- Limited to toy tasks:
  - e.g., flexible grasping is useless without the subsequent accurate and fast manipulation

# How to combine?

• Common practice: add patches to fix problems



- Divide-and-conquer principle: control & learning as blackbox
- Simple combination; NOT always a good solution

### How to combine – need deeper thinking

- Understand the core problem to be solved for your task
- Understand reinforcement learning and control's capability, e.g.,
  - RL: good at trade-off in complex situations
  - Control: good at fast response in simple situations
- Let reinforcement learning and control work on parts most suitable for them
  - Accomplish your task requirement; no more no loss

## Let examples speak out

• Autonomous navigation in dense crowds



# Let examples speak out

- Deformable object manipulation
  - Accurately change the shape or configuration of a deformable object



by Navarro-Alarcon and YH Liu, Chinese University of Hong Kong



by . D. Langsfeld, A. M. Kabir, K. N. Kaipa, and S. K. Gupta, Maryland Robotics Center

Autonomous navigation in dense crowds

# Many important applications

- Low-speed autonomous driving
  - Valent parking
  - Package delivery system

- Service robot
  - Hotel service
  - Elderly assistance
  - Crowd surveillance







### State of the arts







### Common solutions: divide-and-conquer

- Based on the well-known solution to autonomous deriving
- Simultaneous Localization and Mapping (SLAM)



#### Common solutions: divide-and-conquer

• Then add patches for handling moving pedestrians



### Performance: robot gets stuck



- Each component is not perfect and you need to leave some margin for accumulated uncertainty
- But then uncertainty explosion blocks all moves

### Divide-and-conquer issues (I)



# Divide-and-conquer issues (II)

• Each component may be solving a much more difficult problem than the task

	Pipeline	Bottleneck requirement
Divide- and- conquer	<ol> <li>Construct map</li> <li>Plan trajectory in the map</li> <li>Avoid collision with moving objects</li> </ol>	Accurate robot localization & map: global knowledge about scenes
Better solution ?	Directly solve navigation in dense crowds	Collision avoidance: local information is sufficient, no need for localization or map Moving to a goal: a rough global localization and a rough map; no need for map if the scene topology

is simple

# Divide-and-conquer issues (III)

• Difficulty of an individual component may be due to ignorance of interaction among components

		-
	Tracking in dense crowds	Localization in dense crowds
Challenges	Occlusion; Re-id	Lost of localization or close-loop features
If having perfect dense-crowd collision avoidance	Always track the people with fewer occlusion or re-id troubles	Always recover lost features by active exploration using collision avoidance

## End-to-end RL solutions?



- Difficult to transfer from simulation to real world
- Requires huge number of training data / experience
- Discard most structural knowledge in divide-andconquer

### Re-investigate our task:

# What is the core capability required in "navigation in dense crowd"?

# Let's get some idea from human

# What is human's core skill for navigation in dense crowds

The cooperated collision avoidance without central guidance or communication



# What is human's core skill for navigation in dense crowds



## Lets first solve this core task



# Human-like decentralized collision avoidance



• Prefer to avoid traditional difficulties like robust recognition and position/velocity estimation

#### Use raw sensor data



# How to find this controller

 One way is to build a collision avoidance model based on the first principle – velocity obstacle



• Intuitively, any velocity that can result in future collision in a window [0, *t*] should be discarded.

# How to find this controller?

- But learning is more appropriate
  - Rich sensor + complex trade-off between safety and efficiency – first principle is limited and has many parameters to be tuned
  - Rough localization is sufficient for learning-based policy


## Learn controller using RL

• Neural network controller



- Optimize the entire crowd for:
  - Minimizing collision cost
  - Minimizing the time to reach goal
  - Maximizing trajectory smoothness

encourage smooth cooperation

## Training step 1

- "Baby" controller trained on simple scenarios
- Random configuration for start and goal (yellow)



## Training step 2

• Controller gets "mature" after being trained on multiple challenging scenarios



## Training step 2

• Controller gets "mature" after being trained on multiple challenging scenarios



## Test in a challenging benchmark

(100 robots - circle)

Our policy (stage-2)

Each robot moves from a position on the circle to its dual position on the circle.

The robots behave cooperatively to resolve the congestion caused by partial observation to the environment.

#### Unknown map Effective navigation is possible without map, if the topology is simple



Traditional methods based on collision avoidance rules



Our reinforcement learned policy

## Pure learning is NOT enough





- Make complex trade-off between navigation safety and efficiency
- Difficult to respond in time and optimally for extreme cases



- Aggressive optimal control when the moving obstacles are of smallnumber, distant, and slow
- Conservative safe policy when the moving obstacles are of large number, close, and fast





## Benefit of hybrid control

Shorter trajectory, smaller curvature, and lower collision probability



RLHybrid-RLPID controlRL controlEmergent control

### Multi-level swarm behavior

- Close to center
  - mainly safe policy (in red)
- Close to periphery
  - Mainly PID policy (in green)
- Between center and periphery
  - Mainly RL policy (in blue)





#### Performance under different density



## Real robot demo: UWB for rough global localization

#### 4x 4 robots encounter



## Extension in 3D: multiple drone collision avoidance



#### Robot has learned:

## Avoid collision with moving obstacles in a flexible way

Cooperate with moving obstacles in a clever way

## Track a target in human crowd

• Let's first see how the robot's performance when only considering human as dumb moving objects

- The guider has a Ultra-Wideband (UWB) label in his hand
- The robot tries to follow the location of the label

### Indoor test



## Limitation?

- Does not consider human's preference
- The robot is overly responsible for collision avoidance



### Outdoor test



### Escape from the surround



## Test on a legged robot



# Collision avoidance helps challenges in other components



#### Traditional localization in dense crowds

• Need to overcome the static feature lost difficulty



## **Theoretical solutions**

• Gather information by moving to regions with rich localization features, and then continue





## Chicken-and-egg difficulty

• But how to execute such "information gain" movement in a dense crowd?

Lost localization and at most has a rough localization from inertial odometry

Cannot navigation through crowds to the region with "rich feature for localization"

Cannot navigation through crowds to the region with "rich feature for localization"

Cannot recover localization

## Our solution



- Our collision avoidance does not rely on localization
- Our "moving to the goal" can safely use a rough localization to indicate where the feature-rich region is

Chicken-and-egg problem solved



## Localization recovery policy

- Choose a region in the map as a temporary goal for observing features and recover localization
- Trade-off different regions according to:
  - The distance to the robot
  - The richness of features
  - How difficult for the robot to pass through the crowd flow and reach the region



#### Actor-Critic recovery



The crowd-related criterion can be computed using the value function, a byproduct of collision avoidance policy training

Adaptively update policy according to the crowd flow status





## Simulation benchmarks for quantitative comparison



(a) corridor environment

(b) supermarket environment

(c) airport environment



## Simulation benchmarks for quantitative comparison







(a) corridor environment

(b) supermarket environment

(c) airport environment

Methods	Corridor Scenario				SuperMarket Scenario				Airport Scenario			
	Lost	Frozen	Success	Velocity	Lost	Frozen	Success	Velocity	Lost	Frozen	Success	Velocity
baseline	32%	65%	3%	0.52 (0.074)	18%	82%	0%	0	16%	84%	0%	0
RL	63%	17%	20%	0.76 (0.065)	86%	10%	4%	0.81 (0.095)	71%	5%	24%	0.68 (0.051)
RL-CP	44%	6%	50%	0.81 (0.070)	28%	8%	64%	0.64 (0.038)	33/%	31%	36%	0.51 (0.049)
$(RL)^2$	36%	3%	61%	0.73 (0.079)	20%	12%	68%	0.78 (0.089)	10%	28%	62%	0.60 (0.090)

#### Performance under different density



#### Real-world localization recovery demo



# Collision avoidance helps challenges in other components



## Curse of dynamic obstacles

- Traditional mapping algorithm relies the matching features of the same static object at different time points
- In crowd scenario, mapping algorithms will be confused by dynamic obstacles:
  - Mistaking dynamics obstacles for static obstacles
  - Mistaking features of one dynamic obstacle for features of one static obstacle observed before


#### Mapping in crowds?



- Common solutions: detect moving obstacles and remove them from sensor measurements
- But such detection is difficult to be robust

#### Our solution

Rather than considering moving pedestrians as troubles for mapping

We would consider them as useful information source for mapping

How is this possible?

#### Intuition

 Crowd vector field indicates the position of static obstacles





 Even more: information about social preference about moving directions

### Mapping based on crowd flow

- The local crowd flow provides information about the moving resistance in all directions
- As the only sensor measurements in mapping
  - I.e., the robot has no knowledge about static obstacles and only use the crowd for mapping



### Mapping based on crowd flow

• Then the sensor measurements are integrated using the occupancy-based mapping



#### Navigation using crowd-flow map

- Search algorithms (like *D*\*) can use the map to plan a social-compliable trajectory
- Then use RL-based collision avoidance to local adjust



#### Navigation using crowd-flow map

- Blue trajectory: minpath trajectory
- Purple trajectory: D\* trajectory respecting flow

Prefer to follow human's flow to minimize the flow disturb Overcome the overly responsible problem Can we do mapping and localization using crowd data only? (i.e. replacing the SLAM using static objects?)

## YES!

Using the crowd vector field as features to obtain rough localization

- Green: ground truth
- Yellow: particle localization using crowd feature
- Red: localization using features of static obstacle

## Put everything together

### Summary of part I

- Start with collision avoidance as the core task, we obtain much better navigation policy in dense crowds than state-of-the-arts
- Let learning focus on complex trade-off in collision avoidance, and use traditional control and robotics to handle other parts.
- Further solve a set of well-known robotics challenges
  - Localization recovery in crowd
  - Mapping in crowd
  - Localization in crowd
  - Human-friendly navigation

### Deformable object manipulation

#### Motivation

- Observed in a shoe factory in Anta
- Insole fabricated in 2 steps: assembly & sewing



Cloth piece assembly by human worker



Industrial sewing of assembled result

#### More challenging task: bra suturing

- 2.5D shape
- Geometric interface between soft/hard materials



#### Simplified problem

• Autonomous robotic cloth assembly



#### Still a non-trivial task

- The controller must generalize to
  - Cloth pieces with different types of materials
  - Cloth pieces with different numbers of holes
  - Holes with different tolerance



hard fabric, 4 holes

hard fabric, 2 holes

soft fabric, 2 holes, large tolerance where stretch is necessary

# Learning based controller seems to be appropriate?

- Require complicated high-level policy
- Difficult to build mathematical model for the complicated physical interaction between cloth and environments
- Possible solutions:
  - Reinforcement learning
  - Learning from demonstration
  - Or combinations

### Learning from demonstration

- Operators provide demonstrations/examples about how to achieve a task (intuitively)
- Robots learn and generalize from examples
- Examples can be provided by teleoperation, motion capture, VR/AR, or kinesthetic teaching



demonstrations by kinesthetic teaching

automated knot tying

#### LfD knot tying



#### Combine LfD, RL and DL

• Demonstration can include image, video, and tactile sensing measurement



#### But fails on assembly task

Knot tying	Cloth assembly
Requires flexible multi-step policy	Requires both high accuracy and high flexibility
Knot physics is simpler, and thus possible to generate simulation consistent with real world	Complex interaction between cloth, fixture, and gripper, high-quality simulation is difficult

#### Simulator: Achilles' heel for RL

- Realistic simulation of deformable objects is extremely difficult (and also expensive)
- Even after careful optimization of physics parameters









# Visual-servo control for deformable objects

#### • We can do similar thing for deformable object



# Visual-servo control for deformable objects

- Traditional methods use linear functions to model the *J* matrix
- We are using nonlinear approximators, including
  - Gaussian process
  - Neural network
- Dynamically update the J function during the manipulation to best describe the relation between  $\Delta x$  and  $\Delta q$  in the near future

#### Nonlinear visual-servo controller using Gaussian processes

- $J \sim GP(m(\delta x), k(\delta x, \delta x'))$
- Initialize GP using random perturbation of the cloth piece around the initial configuration
- Use the data collected by the robot during the manipulation process to gradually update GP



#### $\Delta x$

$$\begin{aligned} \mu_t(\delta x) &= m(\delta x) + k^T (\delta X_t, \delta x) \\ & [K(\delta X_t, \delta X_t) + \sigma_n^2 I]^{-1} (\delta q_t - m(\delta X_t)) \end{aligned}$$

$$\sigma_t^2(\delta x) = k(\delta x, \delta x) - k^T(\delta X_t, \delta x) [K(\delta X_t, \delta X_t) + \sigma_n^2 I]^{-1} k(\delta X_t, \delta x)$$

## More general controller using deep neural network



Besides fixture holes, we can also use raw image as controller input

#### Peg-in hole assembly for soft fabric: 2 holes/2 pins



#### Peg-in hole assembly for hard fabric: 4 holes/4 pins



#### Rolled towel bending: point feedback features



#### Plastic sheet bending: curve feedback features



## Better performance than linear controller

- The linear controller is also updated online
- GP controller converges faster and more robust
- Success rate >95%; >80% with human perturbation



#### Neural network controller further improves



### Summary of part II

- Learning is appropriate for modeling the complex interaction physics between deformable object and the environment
- But the overall pipeline is still more suitable for traditional visual-servo feedback controller due to the required high accuracy
- Pure reinforcement learning does not fit in here

#### Conclusion

- Combine control and learning always an art
- 1. Inspect your problem/task carefully
- 2. Let control or learning handle the subtasks most appropriate for themselves
- 3. It usually is important to figure out which is the core subtask and start from there

